

FOUNDED	1979
REVENUES	\$1.233 billion in 2017
TOTAL ACCOUNT ASSETS	Approximately \$156 billion ¹
PRODUCING ADVISORS	Approximately 1,778
HEADQUARTERS	Waltham, Massachusetts San Diego, California
OWNERSHIP	100-percent owned and controlled by 13 managing principals: Joseph Deitch, <i>Chairman</i> (39 years at Commonwealth) Peter Wheeler, <i>Vice Chairman</i> (34 years at Commonwealth) Richard Hunter, <i>President and Chief Operating Officer</i> (30 years at Commonwealth) John Rooney, <i>Managing Principal, San Diego</i> (30 years at Commonwealth) Wayne Bloom, <i>Chief Executive Officer</i> (29 years at Commonwealth) David Kelly, <i>Managing Principal, Trading and Brokerage Operations</i> (27 years at Commonwealth) Darren Tedesco, <i>Managing Principal, Innovation and Strategy</i> (24 years at Commonwealth) Kate Creagh, <i>Managing Principal, Human Resources</i> (20 years at Commonwealth) Andrew Daniels, <i>Managing Principal, Business Development</i> (20 years at Commonwealth) Joni Youngwirth, <i>Managing Principal, Practice Management</i> (20 years at Commonwealth) Kol Chu Birke, <i>Managing Principal, Corporate Strategy</i> (19 years at Commonwealth) Brad McMillan, <i>Managing Principal, Chief Investment Officer</i> (12 years at Commonwealth) Trap Kloman, <i>Managing Principal, Chief Financial Officer</i> (3 years at Commonwealth)

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HOME OFFICE STAFF	Approximately 854
REGISTRATION	50 states
CLEARING	Fidelity Clearing & Custody Solutions® (FCCS), which provides clearing, custody, and other brokerage services to Commonwealth Financial Network® through National Financial Services LLC (NFS)*
INDUSTRY RECOGNITION	<p>Ranked highest in advisor satisfaction on <i>Wealth Management</i> magazine's 2016 Independent Broker/Dealer Report Card.</p> <p>Commonwealth has been recognized 38 times by top publications as one of the Best Places to Work in the region (<i>Boston Globe</i>, <i>Boston Business Journal</i>, <i>San Diego Business Journal</i>, <i>U-T [Union-Tribune] San Diego</i>), as well as in the IT industry (<i>Computerworld</i>). With high marks in areas such as work environment, work/life balance, job satisfaction, and opportunities for advancement, Commonwealth earned #1 Best Place to Work designations in the midsize category from the <i>Boston Business Journal</i>, the <i>San Diego Business Journal</i> (2005, 2009), and the <i>Boston Globe</i> (2008); in the large-size category from the <i>San Diego Business Journal</i> (2013); and in the small-size category from the <i>U-T San Diego</i> (2013).</p>

¹ As of December 31, 2017.

* Fidelity Clearing & Custody Solutions® (FCCS) is an independent company, unaffiliated with Commonwealth. FCCS is a service provider to Commonwealth and provides clearing, custody, and other brokerage services to Commonwealth through National Financial Services LLC (NFS), member NYSE, SIPC. 832059.3.0