

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

OC8

**COMMONWEALTH FINANCIAL NETWORK
29 SAWYER ROAD
WALTHAM, MA 02453-3483**

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2018

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	90.38%
Limit orders as percentage of total limit orders	6.95%
Other orders as percentage of total other orders	2.67%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	39.72%
2. VIRTU Americas LLC	31.45%
3. G1 Execution Services, LLC	10.58%
4. National Financial Services LLC	6.79%
5. Two Sigma Securities, LLC	4.10%
6. Cboe BYX U.S. Equities Exchange	2.64%
7. New York Stock Exchange	1.45%
8. Cboe EDGX U.S. Equities Exchange	1.19%
9. NASDAQ Execution Services, LLC	0.81%
10. UBS Securities, LLC	0.79%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.80%
Limit orders as percentage of total limit orders	21.45%
Other orders as percentage of total other orders	50.66%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.38%
Limit orders as percentage of total limit orders	20.32%
Other orders as percentage of total other orders	28.76%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.40%
Limit orders as percentage of total limit orders	3.68%
Other orders as percentage of total other orders	0.90%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.34%
Limit orders as percentage of total limit orders	1.76%
Other orders as percentage of total other orders	0.96%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.42%
Limit orders as percentage of total limit orders	1.48%
Other orders as percentage of total other orders	0.36%

6. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.89%
Limit orders as percentage of total limit orders	0.35%
Other orders as percentage of total other orders	0.00%

7. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.32%
Other orders as percentage of total other orders	4.09%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	16.99%
Other orders as percentage of total other orders	0.48%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.53%
Other orders as percentage of total other orders	0.30%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.65%
Limit orders as percentage of total limit orders	0.60%
Other orders as percentage of total other orders	5.96%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	89.52%
Limit orders as percentage of total limit orders	7.62%
Other orders as percentage of total other orders	2.86%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	41.72%
2. VIRTU Americas LLC	32.38%
3. G1 Execution Services, LLC	10.85%
4. National Financial Services LLC	4.28%
5. Two Sigma Securities, LLC	4.24%
6. NASDAQ Execution Services, LLC	2.12%
7. Cboe BYX U.S. Equities Exchange	1.73%
8. Cboe EDGX U.S. Equities Exchange	1.16%
9. UBS Securities, LLC	0.71%
10. Cboe BZX U.S. Equities Exchange	0.23%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.12%
Limit orders as percentage of total limit orders	21.14%
Other orders as percentage of total other orders	52.78%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.22%
Limit orders as percentage of total limit orders	26.50%
Other orders as percentage of total other orders	21.91%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.78%
Limit orders as percentage of total limit orders	4.00%
Other orders as percentage of total other orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.55%
Limit orders as percentage of total limit orders	1.33%
Other orders as percentage of total other orders	3.55%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.58%
Limit orders as percentage of total limit orders	1.71%
Other orders as percentage of total other orders	0.39%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	26.32%
Other orders as percentage of total other orders	3.94%

7. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.88%
Limit orders as percentage of total limit orders	0.61%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.12%
Other orders as percentage of total other orders	0.31%

9. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.64%
Limit orders as percentage of total limit orders	0.67%
Other orders as percentage of total other orders	3.16%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.61%
Other orders as percentage of total other orders	0.93%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	94.40%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	1.25%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	43.89%
2. VIRTU Americas LLC	33.49%
3. G1 Execution Services, LLC	11.28%
4. Two Sigma Securities, LLC	4.21%
5. Cboe BYX U.S. Equities Exchange	3.52%
6. National Financial Services LLC	1.20%
7. UBS Securities, LLC	0.66%
8. NASDAQ Execution Services, LLC	0.38%
9. NYSE Arca	0.34%
10. Cboe EDGX U.S. Equities Exchange	0.33%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.67%
Limit orders as percentage of total limit orders	30.60%
Other orders as percentage of total other orders	31.40%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.82%
Limit orders as percentage of total limit orders	29.42%
Other orders as percentage of total other orders	22.64%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.64%
Limit orders as percentage of total limit orders	6.81%
Other orders as percentage of total other orders	0.23%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.33%
Limit orders as percentage of total limit orders	2.74%
Other orders as percentage of total other orders	0.11%

5. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.61%
Limit orders as percentage of total limit orders	2.57%
Other orders as percentage of total other orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.21%
Limit orders as percentage of total limit orders	0.52%
Other orders as percentage of total other orders	2.50%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.61%
Limit orders as percentage of total limit orders	0.68%
Other orders as percentage of total other orders	5.01%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.95%
Other orders as percentage of total other orders	2.50%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.14%
Other orders as percentage of total other orders	2.50%

10. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.43%
Other orders as percentage of total other orders	0.34%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	58.19%
Limit orders as percentage of total limit orders	40.04%
Other orders as percentage of total other orders	1.77%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	26.48%
2. NASDAQ PHLX Options	24.08%
3. The MIAX Options Exchange	8.47%
4. NASDAQ Options Market	7.99%
5. BOX Option Exchange	7.57%
6. ISE Gemini Options Exchange	6.82%
7. Cboe EDGX Option Exchange	4.89%
8. NYSE Arca Options	4.68%
9. Cboe BZX Options Exchange, Inc.	3.49%
10. NYSE American Options	2.66%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.95%
Limit orders as percentage of total limit orders	13.40%
Other orders as percentage of total other orders	43.53%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	28.95%
Limit orders as percentage of total limit orders	16.16%
Other orders as percentage of total other orders	43.53%

3. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.33%
Limit orders as percentage of total limit orders	6.13%
Other orders as percentage of total other orders	0.00%

4. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.50%
Limit orders as percentage of total limit orders	19.22%
Other orders as percentage of total other orders	0.00%

5. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.29%
Limit orders as percentage of total limit orders	5.19%
Other orders as percentage of total other orders	4.71%

6. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.18%
Limit orders as percentage of total limit orders	15.27%
Other orders as percentage of total other orders	1.18%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.83%
Limit orders as percentage of total limit orders	3.74%
Other orders as percentage of total other orders	0.00%

8. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.57%
Limit orders as percentage of total limit orders	10.75%
Other orders as percentage of total other orders	2.35%

9. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.14%
Limit orders as percentage of total limit orders	7.06%
Other orders as percentage of total other orders	0.00%

10. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.40%
Limit orders as percentage of total limit orders	1.71%
Other orders as percentage of total other orders	0.00%