

FOUNDED	1979
REVENUES	\$1.425 billion in 2018
TOTAL ACCOUNT ASSETS	Approximately \$161 billion ¹
PRODUCING ADVISORS	Approximately 1,950
HEADQUARTERS	Waltham, Massachusetts San Diego, California
OWNERSHIP	100-percent owned and controlled by 12 managing principals: Joseph Deitch, <i>Chairman</i> (40 years at Commonwealth) Peter Wheeler, <i>Vice Chairman</i> (35 years at Commonwealth) Richard Hunter, <i>President Emeritus</i> (31 years at Commonwealth) John Rooney, <i>Managing Principal, San Diego</i> (31 years at Commonwealth) Wayne Bloom, <i>Chief Executive Officer</i> (30 years at Commonwealth) David Kelly, <i>Managing Principal, Trading and Brokerage Operations</i> (28 years at Commonwealth) Kate Creagh, <i>Managing Principal, Human Resources</i> (21 years at Commonwealth) Andrew Daniels, <i>Managing Principal, Business Development</i> (21 years at Commonwealth) Joni Youngwirth, <i>Managing Principal, Practice Management</i> (21 years at Commonwealth) Kol Chu Birke, <i>Managing Principal, Technology and Corporate Strategy</i> (20 years at Commonwealth) Brad McMillan, <i>Managing Principal, Chief Investment Officer</i> (13 years at Commonwealth) Trap Kloman, <i>President and Chief Operating Officer</i> (4 years at Commonwealth)

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HOME OFFICE STAFF	Approximately 913
REGISTRATION	50 states
CLEARING	Fidelity Clearing & Custody Solutions® (FCCS), which provides clearing, custody, and other brokerage services to Commonwealth Financial Network® through National Financial Services LLC (NFS) ²
INDUSTRY RECOGNITION	<p>Uppermost gross revenue per advisor among independent broker/dealers, as reported by <i>Financial Advisor</i> in April 2019.</p> <p>Highest average payout per advisor among independent broker/dealers, as reported by <i>Financial Planning</i> in June 2018.</p> <p>Commonwealth has been recognized 41 times as one of the Best Places to Work by the <i>Boston Globe</i>, <i>Boston Business Journal</i>, <i>San Diego Business Journal</i>, <i>U-T (Union-Tribune) San Diego</i>, and <i>Computerworld</i>. This includes #1 Best Place to Work designations in the midsize category from both the <i>Boston Business Journal</i> and the <i>San Diego Business Journal</i> (2005, 2009, 2013).</p>

¹ As of December 31, 2018.

² Fidelity Clearing & Custody Solutions® (FCCS) is an independent company, unaffiliated with Commonwealth. FCCS is a service provider to Commonwealth and provides clearing, custody, and other brokerage services to Commonwealth through National Financial Services LLC (NFS), member NYSE, SIPC. 832059.3.0